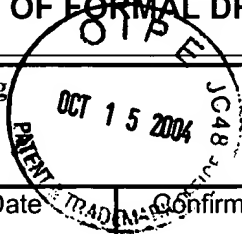


**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
51922 (ACT-126/127)

In Re Application Of: D. Steinberg



Serial No.

09/835,106

Filing Date

04/13/2001

Confirmation No.

4209

Examiner

Michael C. Zarroli

Art Unit

2839

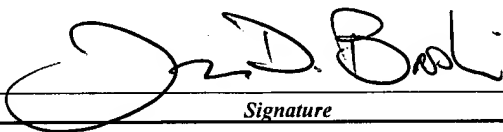
Invention: **OPTICAL WAVEGUIDE SWITCH**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith are:

7 sheets of formal drawing(s) for this application.

☒ Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).  
*Signature*Jonathan D. Baskin (Reg. No. 39,499)  
EDWARDS & ANGELL, LLP  
P.O. Box 55874  
Boston, MA 02205  
(617) 439-4444

Dated: 10/12/2004

I certify that this document and attached formal drawings  
are being deposited on 10/12/2004 with the  
U.S. Postal Service as first class mail under 37 C.F.R. 1.8  
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1450, Alexandria, VA 22313-1450.  
*Signature of Person Mailing Correspondence*

Deanna M. Rivernider

*Typed or Printed Name of Person Mailing Correspondence*



Practitioner's Docket No. 51922 (ACT-126/127)

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: D. Steinberg  
Application No.: 09/835,106 Group No.: 2839  
Filed: April 13, 2001 Examiner: Michael C. Zarroli  
For: OPTICAL WAVEGUIDE SWITCH

[ ] \*Patent No.: Issue Date:

*\*NOTE: Insert name of inventor(s) and title also for patent. Where notification is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.*

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS**  
**(37 C.F.R. SECTION 1.28(b))**

*NOTE: Notification of any change in status resulting in loss of entitlement to small entity status must be filed in an application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate, pursuant to section 1.9 of this Part. The notification of change in status may be signed by the applicant, any person authorized to sign on behalf of the assignee, or an attorney or agent of record or acting in a representative capacity pursuant to section 1.34(a) of this Part. 37 C.F.R. section 1.28(b)*

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

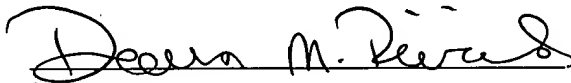
I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**FACSIMILE**

- ☐ transmitted by facsimile to the Patent and Trademark Office.

  
Signature

Date: 10/12/2004

Deanna M. Rivernider  
(type or print name of person certifying)

NOTE: From the above portion of 37 C.F.R. section 1.28(b), it is only a change in status resulting in loss of entitlement to small entity status" that must be filed, and a change from one small entity status to another small entity status requires no notification.

Applicant hereby notifies the Patent and Trademark Office that it is no longer entitled to status as a small entity, and that the claim for small entity status, set forth in the verified statement filed on April 13, 2001, in the subject Non-Provisional Application, is hereby withdrawn.

Date 10/12/2004

Jonathan D. Baskin  
(print or type name of person signing)

  
Signature

- ☐ Inventor(s)  
☐ Assignee of complete interest  
☐ Person authorized to sign on behalf of assignee  
☒ Practitioner of record  
☐ Filed under Rule 34(a)

Registration No:

P.O. Address of signatory  
(if applicable)

EDWARDS & ANGELL, LLP  
P.O. Box 55874  
Boston, MA 02205

Telephone No. (508) 787-4766  
Reg. No. 39,499

(complete the following, if applicable)

Shipley Company, L.L.C.  
(type name of assignee)  
455 Forest Street  
Address of assignee  
Marlborough, MA 01752

Practitioner of Record  
Title of person authorized to sign on behalf of assignee

Assignment recorded in PTO October 15, 2002

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